#### Outline

- 1 Singleton and Takagi-Sugeno fuzzy system.
- 2 Knowledge based fuzzy modeling.
- 3 Data-driven construction.
- 4 Direct fuzzy control.
- **5** Supervisory fuzzy control.

## Singleton Fuzzy Model

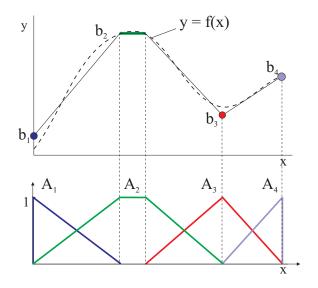
If 
$$x$$
 is  $A_i$  then  $y = b_i$ 

Inference/defuzzification:

$$y = \frac{\sum_{i=1}^{K} \mu_{A_i}(x) b_i}{\sum_{i=1}^{K} \mu_{A_i}(x)}$$

- well-understood approximation properties
- straightforward parameter estimation

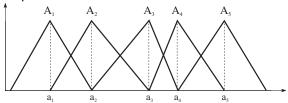
## Piece-wise Linear Approximation



#### Linear Mapping with a Singleton Model

$$y = \mathbf{k}^T \mathbf{x} + q = \sum_{j=1}^p k_j x_j + q$$

Triangular partition:



Consequent singletons are equal to:

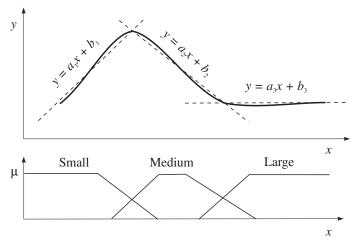
$$b_i = \sum_{j=1}^p k_j a_{i,j} + q$$

#### Takagi-Sugeno (TS) Fuzzy Model

If x is 
$$A_i$$
 then  $y_i = a_i x + b_i$ 

$$y = \frac{\sum_{i=1}^{K} \mu_{A_i}(x) y_i}{\sum_{i=1}^{K} \mu_{A_i}(x)} = \frac{\sum_{i=1}^{K} \mu_{A_i}(x) (a_i x + b_i)}{\sum_{i=1}^{K} \mu_{A_i}(x)}$$

#### Input-Output Mapping of the TS Model



Consequents are approximate local linear models of the system.

#### TS Model is a Quasi-Linear System

$$y = \sum_{i=1}^K \mu_{A_i}(\mathbf{x}) y_i = \sum_{j=1}^K \mu_{A_j}(\mathbf{x}) = \sum_{i=1}^K \mu_{A_i}(\mathbf{x}) (\mathbf{a}_i^T \mathbf{x} + b_i) = \sum_{j=1}^K \mu_{A_j}(\mathbf{x})$$

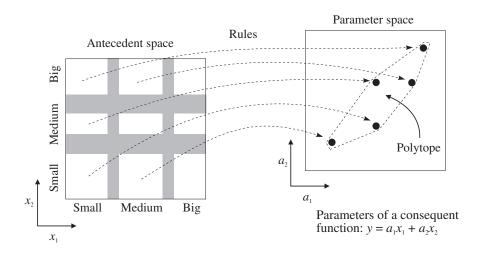
#### TS Model is a Quasi-Linear System

$$y = \sum_{i=1}^{K} \mu_{A_i}(\mathbf{x}) y_i = \sum_{j=1}^{K} \mu_{A_j}(\mathbf{x}) = \sum_{i=1}^{K} \mu_{A_i}(\mathbf{x}) (\mathbf{a}_i^T \mathbf{x} + b_i) = \sum_{j=1}^{K} \mu_{A_j}(\mathbf{x})$$

$$y = \underbrace{\left(\sum_{i=1}^{K} \gamma_i(\mathbf{x}) \mathbf{a}_i^T\right)}_{\mathbf{a}(\mathbf{x})^T} \mathbf{x} + \underbrace{\sum_{i=1}^{K} \gamma_i(\mathbf{x}) b_i}_{b(\mathbf{x})}$$

linear in parameters  $a_i$  and  $b_i$ , pseudo-linear in x (LPV)

## TS Model is a Polytopic System



# **Construction of Fuzzy Models**

#### Modeling Paradigms

- Mechanistic (white-box, physical)
- Qualitative (naive physics, knowledge-based)
- Data-driven (black-box, inductive)

Often combination of different approaches semi-mechanistic, gray-box modeling.

#### Parameterization of nonlinear models

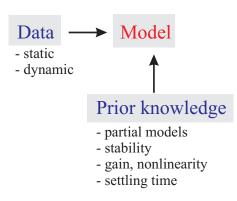
- polynomials, splines
- look-up tables
- fuzzy systems
- neural networks
- (neuro-)fuzzy systems
- radial basis function networks
- wavelet networks
- . . .

## Modeling of Complex Systems

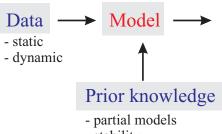


- dynamic

#### Modeling of Complex Systems



#### Modeling of Complex Systems



- stability
- gain, nonlinearity
- settling time

#### User

- accuracy
- interpretation
- mathematical form
- reliability (extrapolation)

#### **Building Fuzzy Models**

#### Knowledge-based approach:

- ullet expert knowledge o rules & membership functions
- fuzzy model of human operator
- linguistic interpretation

#### **Building Fuzzy Models**

#### Knowledge-based approach:

- ullet expert knowledge o rules & membership functions
- fuzzy model of human operator
- linguistic interpretation

#### Data-driven approach:

- nonlinear mapping, universal approximation
- extract rules & membership functions from data

#### Knowledge-Based Modeling

- Problems where little or no data are available.
- Similar to expert systems.
- Presence of both quantitative and qualitative variables or parameters.

Typical applications: fuzzy control and decision support, but also modeling of poorly understood processes

#### Wear Prediction for a Trencher



Trencher T-850 (Vermeer)



Chain Detail

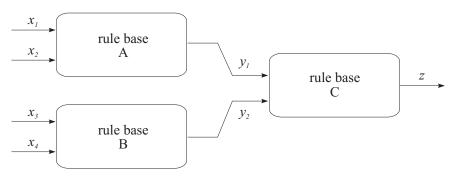
Goal: Given the terrain properties, predict bit wear and production rate of trencher.

#### Why Knowledge-Based Modeling?

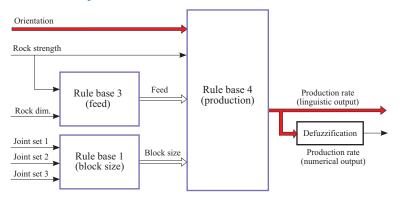
- Interaction between tool and environment is complex, dynamic and highly nonlinear, rigorous mathematical models are not available.
- Little data (15 data points) to develop statistical regression models.
- Input data are a mixture of numerical measurements (rock strength, joint spacing, trench dimensions) and qualitative information (joint orientation).
- Precise numerical output not needed, qualitative assessment is sufficient.

#### Dimensionality Problem: Hierarchical Structure

Assume 5 membership functions for each input 625 rules in a flat rule base vs. 75 rules in a hierarchical one



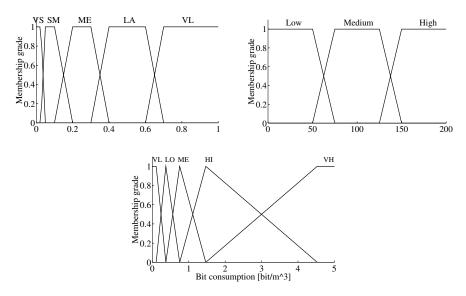
#### Trencher: Fuzzy Rule Bases



```
If TRENCH-DIM is SMALL and STRENGTH is LOW Then FEED is VERY-HIGH; If TRENCH-DIM is SMALL and STRENGTH is MEDIUM Then FEED is HIGH; \dots
```

If JOINT-SP is EXTRA-LARGE and FEED is VERY-HIGH Then PROD is VERY-HIGH

## **Example of Membership Functions**



## Output: Prediction of Production Rate

data no.	measured value	predicted lingu	istic	value(s)
	0.07	MEDA TON	4 00	
1	2.07	VERY-LOW	1.00	
2	5.56	HIGH	1.00	
3	23.60	VERY-HIGH	0.50	
4	11.90	HIGH	0.40	VERY-HIGH 0.60
5	7.71	MEDIUM	1.00	
6	7.17	LOW	0.72	
7	8.05	MEDIUM	0.80	
8	7.39	LOW	1.00	
9	4.58	LOW	0.50	
10	8.74	MEDIUM	1.00	
11	134.84	EXTREMELY-HIGH	1.00	

## **Data-Driven Construction**

#### Structure and Parameters

#### Structure:

- Input and output variables. For dynamic systems also the representation of the dynamics.
- Number of membership functions per variable, type of membership functions, number of rules.

#### Parameters:

- Consequent parameters (least squares).
- Antecedent membership functions (various methods).

#### Least-Squares Estimation of Singletons

$$R_i$$
: If  $x$  is  $A_i$  then  $y = b_i$ 

Given A<sub>i</sub> and a set of input-output data:

$$\{(x_k, y_k) \mid k = 1, 2, ..., N\}$$

• Estimate optimal consequent parameters  $b_i$ .

#### Least-Squares Estimation of Singletons

- **1** Compute the membership degrees  $\mu_{A_i}(\mathbf{x}_k)$
- 2 Normalize

$$\gamma_{ki} = \mu_{A_i}(\mathbf{x}_k)/\sum_{j=1}^K \mu_{A_j}(\mathbf{x}_k)$$

(Output:  $y_k = \sum_{i=1}^K \gamma_{ki} b_i$ , in a matrix form:  $\boldsymbol{y} = \boldsymbol{\Gamma} \boldsymbol{b}$ )

**3** Least-squares estimate:  $\mathbf{b} = \left[ \mathbf{\Gamma}^T \mathbf{\Gamma} \right]^{-1} \mathbf{\Gamma}^T \mathbf{y}$ 

#### Least-Square Estimation of TS Consequents

$$\mathbf{X} = \begin{bmatrix} \mathbf{x}_{1}^{T} \\ \mathbf{x}_{2}^{T} \\ \vdots \\ \mathbf{x}_{N}^{T} \end{bmatrix}, \quad \mathbf{y} = \begin{bmatrix} y_{1} \\ y_{2} \\ \vdots \\ y_{N} \end{bmatrix}, \quad \mathbf{\Gamma}_{i} = \begin{bmatrix} \gamma_{i1} & 0 & \cdots & 0 \\ 0 & \gamma_{i2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \gamma_{iN} \end{bmatrix}$$
$$\boldsymbol{\theta}_{i} = \begin{bmatrix} \mathbf{a}_{i}^{T} & b_{i} \end{bmatrix}^{T}, \quad \mathbf{X}_{e} = \begin{bmatrix} \mathbf{X} & \mathbf{1} \end{bmatrix}$$

#### Least-Square Estimation of TS Consequents

• Global LS: 
$$\boldsymbol{\theta}' = \left[ (\boldsymbol{X}')^T \boldsymbol{X}' \right]^{-1} (\boldsymbol{X}')^T \boldsymbol{y}$$

with 
$$\mathbf{X}' = \begin{bmatrix} \mathbf{\Gamma}_1 \mathbf{X}_e & \mathbf{\Gamma}_2 \mathbf{X}_e & \dots & \mathbf{\Gamma}_c \mathbf{X}_e \end{bmatrix}$$
 and  $\mathbf{\theta}' = \begin{bmatrix} \mathbf{\theta}_1^T & \mathbf{\theta}_2^T & \dots & \mathbf{\theta}_c^T \end{bmatrix}^T$ 

#### Least-Square Estimation of TS Consequents

• Global LS: 
$$\boldsymbol{\theta}' = \left[ (\boldsymbol{X}')^T \boldsymbol{X}' \right]^{-1} (\boldsymbol{X}')^T \boldsymbol{y}$$

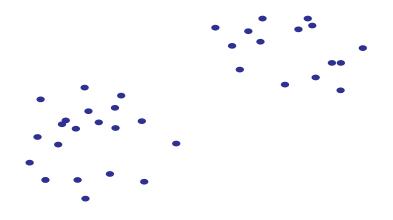
with 
$$\mathbf{X}' = [\mathbf{\Gamma}_1 \mathbf{X}_e \quad \mathbf{\Gamma}_2 \mathbf{X}_e \quad \dots \quad \mathbf{\Gamma}_c \mathbf{X}_e]$$
 and  $\mathbf{\theta}' = \begin{bmatrix} \mathbf{\theta}_1^T & \mathbf{\theta}_2^T & \dots & \mathbf{\theta}_c^T \end{bmatrix}^T$ 

• Local LS:  $\boldsymbol{\theta}_i = \left[ \boldsymbol{X}_e^T \boldsymbol{\Gamma}_i \boldsymbol{X}_e \right]^{-1} \boldsymbol{X}_e^T \boldsymbol{\Gamma}_i \boldsymbol{y}$ 

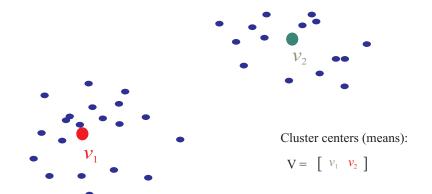
#### Antecedent Membership Functions

- templates (grid partitioning),
- nonlinear optimization (neuro-fuzzy methods),
- tree-construction
- product space fuzzy clustering

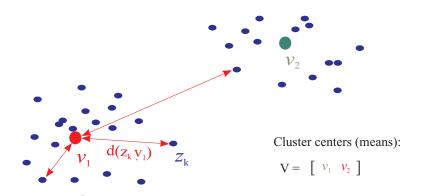
## Fuzzy Clustering: Data



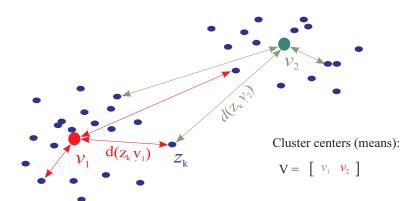
## Fuzzy Clustering: Prototypes



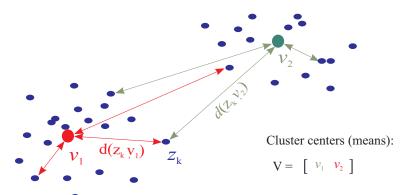
## Fuzzy Clustering: Distance



## Fuzzy Clustering: Distance



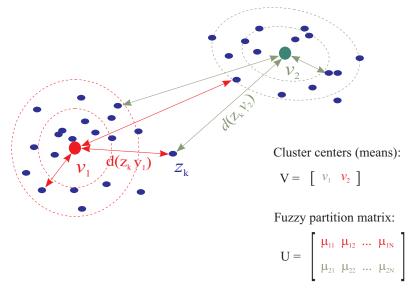
# Fuzzy Clustering: Partition Matrix



#### Fuzzy partition matrix:

$$U = \begin{bmatrix} \mu_{11} & \mu_{12} & \dots & \mu_{1N} \\ \mu_{21} & \mu_{22} & \dots & \mu_{2N} \end{bmatrix}$$

# Fuzzy Clustering: Shapes



# Fuzzy Clustering Problem

#### Given the data:

$$\mathbf{z}_k = [z_{1k}, z_{2k}, \dots, z_{nk}]^T \in \mathbb{R}^n, \quad k = 1, \dots, N$$

#### Find:

the fuzzy partition matrix:

$$oldsymbol{U} = egin{bmatrix} \mu_{11} & \dots & \mu_{1k} & \dots & \mu_{1N} \\ \vdots & \dots & \vdots & \dots & \vdots \\ \mu_{c1} & \dots & \mu_{ck} & \dots & \mu_{cN} \end{bmatrix}$$

and the cluster centers:

$$V = \{v_1, v_2, \dots, v_c\}, v_i \in \mathbb{R}^n$$

## Fuzzy Clustering: an Optimization Approach

Objective function (least-squares criterion):

$$J(Z; V, U, A) = \sum_{i=1}^{c} \sum_{j=1}^{N} \mu_{i,j}^{m} d_{A_{i}}^{2}(z_{j}, v_{i})$$

subject to constraints:

$$0 \leq \mu_{i,j} \leq 1,$$
  $i=1,\ldots,c,\ j=1,\ldots,N$  membership degree  $0 < \sum_{j=1}^N \mu_{i,j} < N,$   $i=1,\ldots,c$  no cluster empty  $\sum_{j=1}^c \mu_{i,j} = 1,$   $j=1,\ldots,N$  total membership

#### Fuzzy c-Means Algorithm

#### Repeat:

1 Compute cluster prototypes (means):  $v_i = \frac{\sum_{k=1}^{N} \mu_{i,k}^m z_k}{\sum_{k=1}^{N} \mu_{i,k}^m}$ 

## Fuzzy c-Means Algorithm

#### Repeat:

- $\textbf{1} \ \ \mathsf{Compute} \ \mathsf{cluster} \ \mathsf{prototypes} \ (\mathsf{means}) \colon \ v_i = \frac{\sum_{k=1}^N \mu_{i,k}^m \mathsf{z}_k}{\sum_{k=1}^N \mu_{i,k}^m}$
- 2 Calculate distances:  $d_{ik} = (\mathbf{z}_k \mathbf{v}_i)^T (\mathbf{z}_k \mathbf{v}_i)$

## Fuzzy c-Means Algorithm

#### Repeat:

- $\textbf{1} \ \ \mathsf{Compute} \ \mathsf{cluster} \ \mathsf{prototypes} \ (\mathsf{means}) : \ \textit{\textbf{v}}_i = \frac{\sum_{k=1}^N \mu_{i,k}^m \textit{\textbf{z}}_k}{\sum_{k=1}^N \mu_{i,k}^m}$
- 2 Calculate distances:  $d_{ik} = (\mathbf{z}_k \mathbf{v}_i)^T (\mathbf{z}_k \mathbf{v}_i)$
- 3 Update partition matrix:  $\mu_{ik} = \frac{1}{\sum_{j=1}^{c} (d_{ik}/d_{jk})^{1/(m-1)}}$

until 
$$\|\Delta oldsymbol{U}\| < \epsilon$$

#### Distance Measures

Euclidean norm:

$$d^2(\boldsymbol{z}_j, \boldsymbol{v}_i) = (\boldsymbol{z}_j - \boldsymbol{v}_i)^T (\boldsymbol{z}_j - \boldsymbol{v}_i)$$

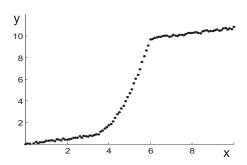
• Inner-product norm:

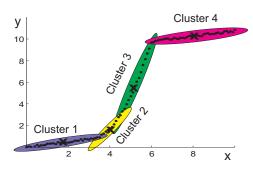
$$d_{A_i}^2(\mathbf{z}_j,\mathbf{v}_i)=(\mathbf{z}_j-\mathbf{v}_i)^TA_i(\mathbf{z}_j-\mathbf{v}_i)$$

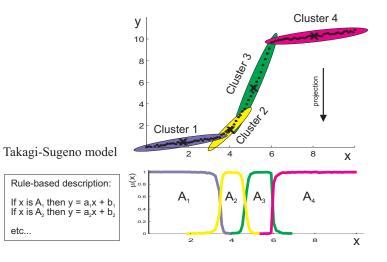
Many other possibilities . . .

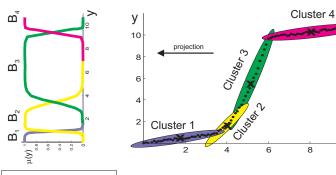
# Fuzzy Clustering - Demo

① Fuzzy *c*-means









Rule-based description: If y is B<sub>1</sub> then  $x = a_1y + b_1$ If y is B<sub>2</sub> then  $x = a_2y + b_2$ etc...

Inverse Takagi-Sugeno model

Х

#### Rule Extraction - Demo

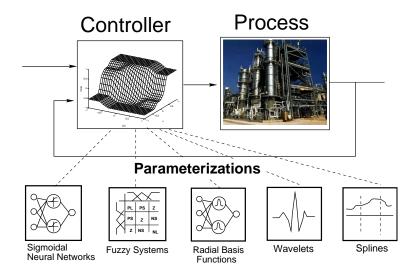
Extraction of Takagi–Sugeno rules

# **Fuzzy Control**

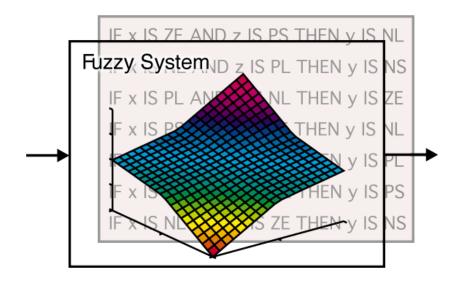
#### Fuzzy Control: Background

- controller designed by using If—Then rules instead of mathematical formulas (knowledge-based control),
- early motivation: mimic experienced operators,
- fuzzy reasoning: interpolation between discrete outputs,
- currently: also controllers designed on the basis of a fuzzy model (model-based fuzzy control),
- a fuzzy controller represents a nonlinear mapping (but completely deterministic!).

#### Parameterization of Nonlinear Controllers



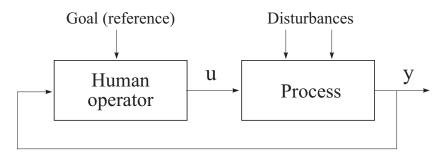
## Fuzzy System is a Nonlinear Mapping



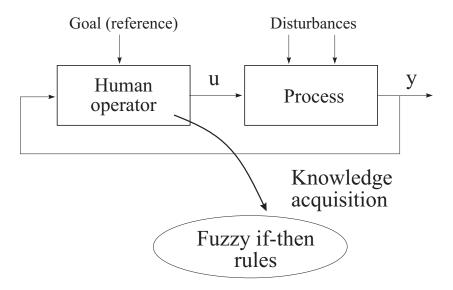
#### Basic Fuzzy Control Schemes

- Direct (low-level, Mamdani) fuzzy control
- Fuzzy supervisory (high-level, Takagi–Sugeno) control
- Fuzzy model-based control

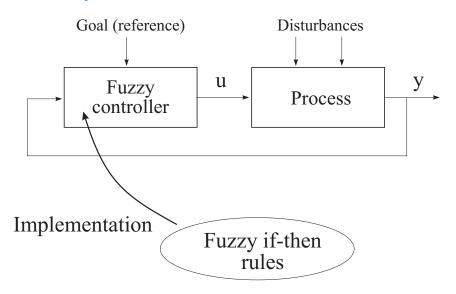
#### Process Controlled by Operators



#### Knowledge Acquisition



#### Direct Fuzzy Control

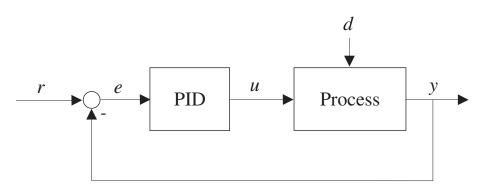


## Example of Operator Knowledge

Case	Condition	Action to be taken	Reason
11	BZ OK	a. Decrease fuel rate slightly	To raise percentage of oxygen
	OX low		
	BE OK		
12	BZ OK	a. Reduce fuel rate	To increase percentage of oxygen
	OX low		for action b
	BE high	b. Reduce fan speed	To lower back-end temperature and
			maintain burning zone temperature
13	BZ OK	a. Increase fan speed	To raise back-end temperature
	OX OK	b. Increase fuel rate	To maintain burning zone
	BE low		temperature

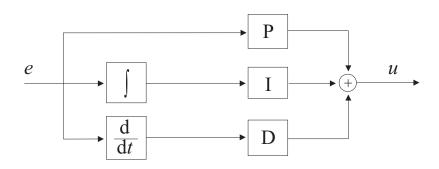
Extract from Peray's textbook for kiln operators (Oestergaard, 1999)

# FLC Analogue to PID Control



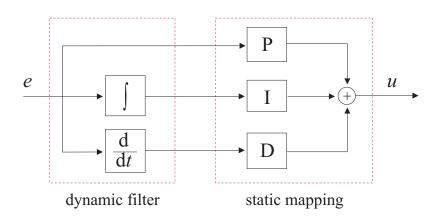
#### PID Control: Internal View

$$u(t) = Pe(t) + I \int_0^t e(\tau) d\tau + D \frac{de(t)}{dt}$$



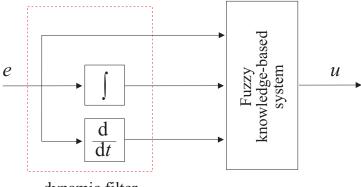
#### PID Control: Internal View

$$u(t) = Pe(t) + I \int_0^t e(\tau) d\tau + D \frac{de(t)}{dt}$$



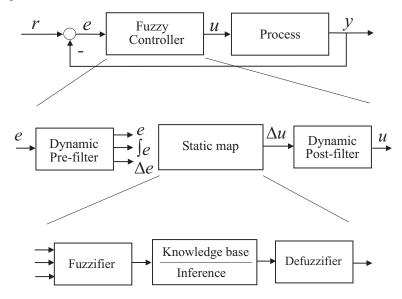
# Fuzzy PID Control

$$u(t) = f\left(e(t), \int_0^t e(\tau)d\tau, \frac{de(t)}{dt}\right)$$

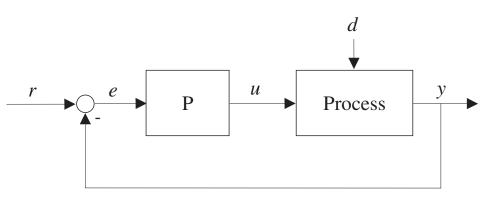


dynamic filter

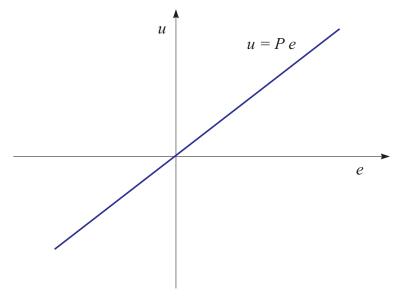
## Fuzzy PID Control



# Example: Proportional Control



# Controller's Input-Output Mapping



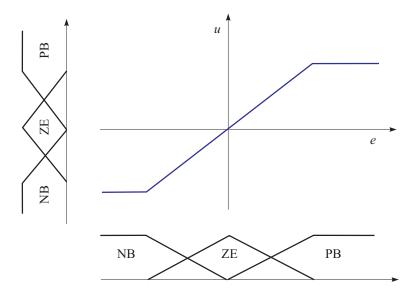
#### Fuzzy Proportional Control: Rules

If error is Negative Big then control input is Negative Big

If error is Positive Big then control input is Positive Big

If error is Zero then control input is Zero

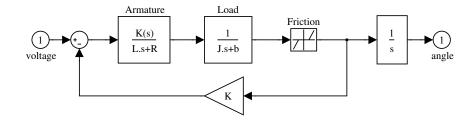
# Controller's Input-Output Mapping



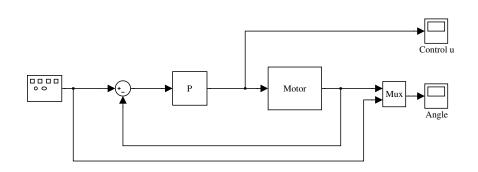
#### **Example: Friction Compensation**

- 1 DC motor with static friction.
- 2 Fuzzy rules to represent "normal" proportional control.
- 3 Additional rules to prevent undesirable states.

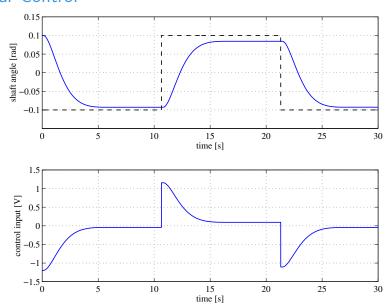
#### DC Motor: Model



#### Proportional Controller



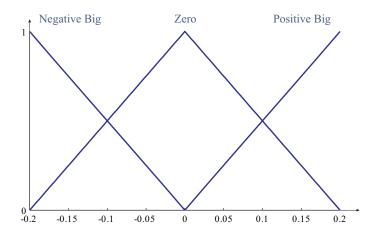
#### Linear Control



#### Fuzzy Control Rule Base

If error is Positive Big then control input is Positive Big;If error is Negative Big then control input is Negative Big;If error is Zero then control input is Zero;

## Membership Functions for Error



#### Additional Rules

If error is Positive Big then control input is Positive Big;

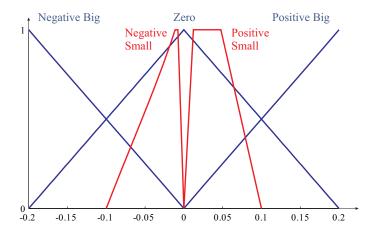
If error is Negative Big then control input is Negative Big;

If error is Zero then control input is Zero;

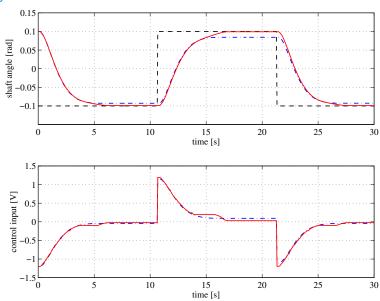
If error is Negative Small then control input is not Negative Small;

If error is Positive Small then control input is not Positive Small;

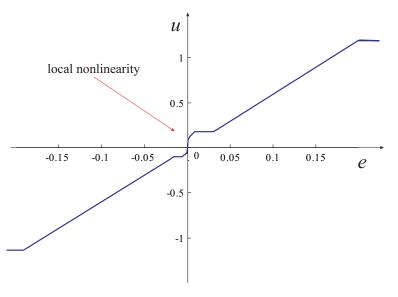
## Membership Functions for Error



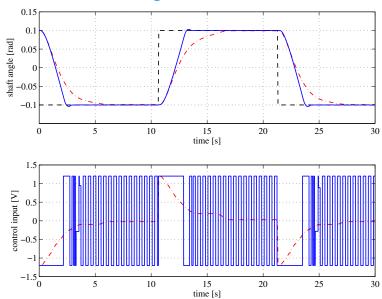
## **Fuzzy Control**



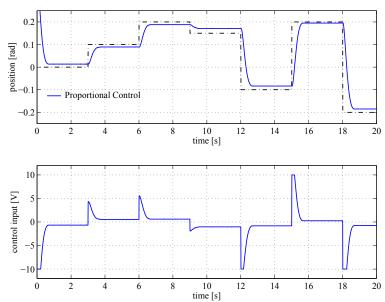
## Input-Output Mapping of the Controller



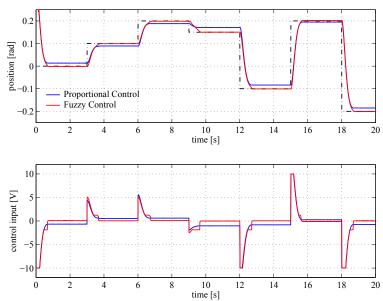
#### Another Solution: Sliding Mode Control



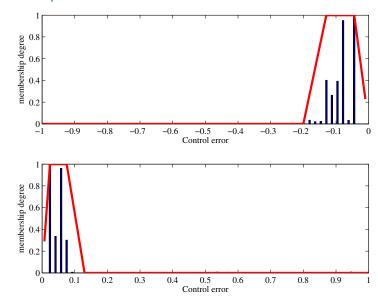
#### **Experimental Results**



#### **Experimental Results**



# Membership Functions



#### Fuzzy PD Controller: Rule Table

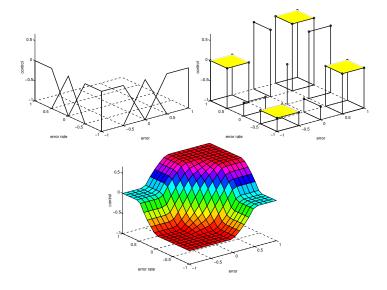
#### error rate

error

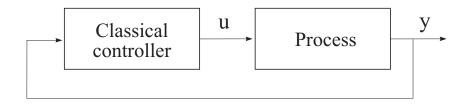
	NB	ZE	PB
NB	NB	NB	ZE
ZE	NB	ZE	РΒ
PB	ZE	РΒ	РΒ

 $R_{12}$ : If error is NB and error rate is ZE then control is NB

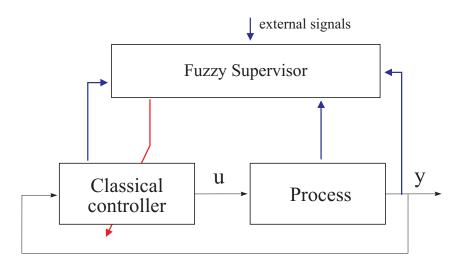
# Fuzzy PD Controller – cont'd



## Supervisory Fuzzy Control



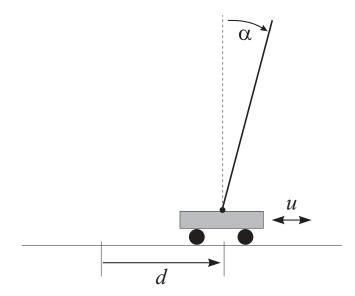
## Supervisory Fuzzy Control



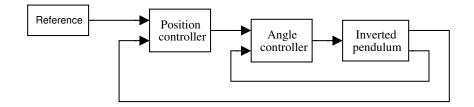
## Supervisory Control Rules: Example

```
    If process output is High
    then reduce proportional gain Slightly and increase derivative gain Moderately.
    (Supervised PD controller)
```

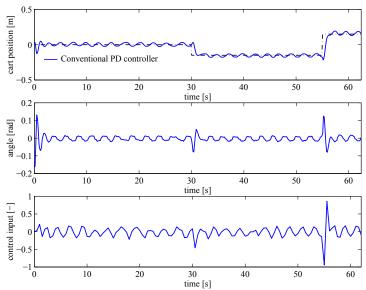
## Example: Inverted Pendulum



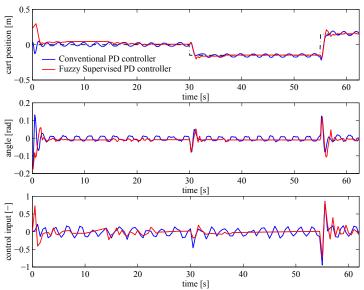
#### Cascade Control Scheme



#### **Experimental Results**



#### **Experimental Results**



```
R_1: If r is Low then u_L = P_L e + D_L \dot{e}

R_2: If r is High then u_H = P_H e + D_H \dot{e}
```

$$u = \frac{\mu_{L}(r) u_{L} + \mu_{H}(r) u_{H}}{\mu_{L}(r) + \mu_{H}(r)} = \gamma_{L}(r) u_{L} + \gamma_{H}(r) u_{H}$$

$$R_1$$
: If  $r$  is Low then  $u_L = P_L e + D_L \dot{e}$   
 $R_2$ : If  $r$  is High then  $u_H = P_H e + D_H \dot{e}$ 

$$u = \frac{\mu_{L}(r) u_{L} + \mu_{H}(r) u_{H}}{\mu_{L}(r) + \mu_{H}(r)} = \gamma_{L}(r) u_{L} + \gamma_{H}(r) u_{H}$$
$$= \{\gamma_{L}(r)P_{L} + \gamma_{H}(r)P_{H}\} e + \{\gamma_{L}(r)D_{L} + \gamma_{H}(r)D_{H}\} \dot{e}$$

$$R_1$$
: If  $r$  is Low then  $u_L = P_L e + D_L \dot{e}$   
 $R_2$ : If  $r$  is High then  $u_H = P_H e + D_H \dot{e}$ 

$$u = \frac{\mu_{L}(r) u_{L} + \mu_{H}(r) u_{H}}{\mu_{L}(r) + \mu_{H}(r)} = \gamma_{L}(r) u_{L} + \gamma_{H}(r) u_{H}$$

$$= \{\gamma_{L}(r)P_{L} + \gamma_{H}(r)P_{H}\} e + \{\gamma_{L}(r)D_{L} + \gamma_{H}(r)D_{H}\} \dot{e}$$

$$= P(r)e + D(r)\dot{e},$$

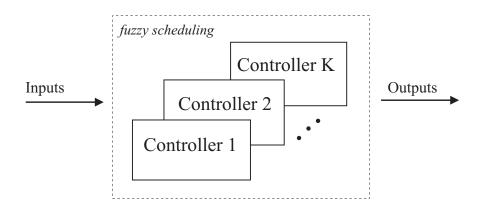
$$R_1$$
: If  $r$  is Low then  $u_L = P_L e + D_L \dot{e}$   
 $R_2$ : If  $r$  is High then  $u_H = P_H e + D_H \dot{e}$ 

$$u = \frac{\mu_{L}(r) u_{L} + \mu_{H}(r) u_{H}}{\mu_{L}(r) + \mu_{H}(r)} = \gamma_{L}(r) u_{L} + \gamma_{H}(r) u_{H}$$

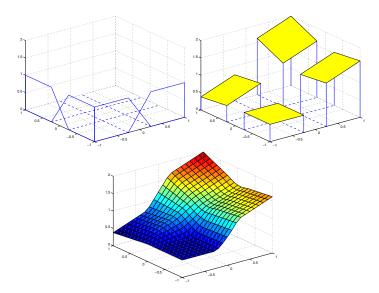
$$= \{\gamma_{L}(r) P_{L} + \gamma_{H}(r) P_{H}\} e + \{\gamma_{L}(r) D_{L} + \gamma_{H}(r) D_{H}\} \dot{e}$$

$$= P(r) e + D(r) \dot{e}, \qquad P(r) \in \text{conv}(P_{L}, P_{H}), \dots$$

#### Takagi-Sugeno Control is Gain Scheduling



# TS Control: Input-Output Mapping



#### TS Control: Example

- 1 Strongly nonlinear process (output-dependent gain).
- 2 Fuzzy supervisor to adjust the gain of a proportional controller.
- 3 Comparison with linear (fixed-gain) proportional control.

#### TS Control: Example

Nonlinear process:

$$\frac{d^3y(t)}{dt^3} + \frac{d^2y(t)}{dt^2} + \frac{dy(t)}{dt} = y^2(t)u(t)$$

#### Problems with linear control:

- stability and performance depend on process output
- re-tuning the controller does not help
- nonlinear control is the only solution

#### TS Control: Example

Goal: Design a controller to stabilize the process for a wide range of operating points (y > 0):

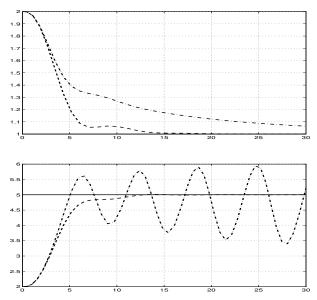
TS (proportional) control rules:

```
If y is Small then u(k) = P_{\mathsf{Small}} \cdot e(k)

If y is Medium then u(k) = P_{\mathsf{Medium}} \cdot e(k)

If y is Large then u(k) = P_{\mathsf{Large}} \cdot e(k)
```

# Comparison of Performance



#### Typical Applications

- Tune parameters of low-level controllers (auto-tuning).
- Improve performance of classical control (response-assisted PID).
- Adaptation, gain scheduling (aircraft control).

#### Typical Applications

- Tune parameters of low-level controllers (auto-tuning).
- Improve performance of classical control (response-assisted PID).
- Adaptation, gain scheduling (aircraft control).

- Enhancement of classical controllers.
- Interface between low-level and high-level control.
- Ad hoc approach, difficult analysis.

#### Fuzzy Control: Design Steps

#### control engineering approaches + heuristic knowledge

- 1 Determine inputs and outputs.
- 2 Define membership functions.
- Oesign rule base.
- 4 Test (completeness, stability, performance).
- **5** Fine-tune the controller.

#### Parameters in a Fuzzy Controller

